

UTILITY PATENT APPLICATION TRANSMITTAL LETTER
 (Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
01FN029US

1c979 U.S. PTO
09/867423
10/15/01

To the Assistant Commissioner for Patents:

Transmitted herewith for filing is the patent application of:
 Hiroaki TANAKA, Michiaki SAKAMOTO, Takahiko WATANABE,
 Yoshiaki HASHIMOTO and Syuusaku KIDO
 corresponding to Japanese application Nos. 2000-161677 and
 2001-110195, filed May 31, 2000 and April 9, 2001,
 entitled: COLOR LIQUID CRYSTAL DISPLAY DEVICE AND MANUFACTURING
 METHOD OF THE SAME

Enclosed are:

- | | |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | 44 pages of specification. |
| <input checked="" type="checkbox"/> | 20 sheets of formal drawings. |
| <input checked="" type="checkbox"/> | a newly-executed declaration of the inventors. |
| <input type="checkbox"/> | a copy of an executed declaration of the inventor from prior application
Serial No. , filed . |
| <input type="checkbox"/> | incorporation by reference. The entire disclosure of the prior application,
from which a copy of the oath or declaration is supplied as indicated in the
preceding box, is considered as being part of the disclosure of the accom-
panying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | an assignment of the invention to NEC Corporation, including assignment
cover sheet. |
| <input checked="" type="checkbox"/> | Information Disclosure Statement with Form PTO-1449. |
| <input checked="" type="checkbox"/> | copies of the Information Disclosure Statement citations. |
| <input type="checkbox"/> | preliminary amendment. |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized. |
| <input type="checkbox"/> | applicant claims small entity status under 37 CFR 1.27. |
| <input checked="" type="checkbox"/> | (2) a certified copy of each of the Japanese Priority Documents. |
| <input checked="" type="checkbox"/> | other: Application Data Sheet. |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite informa-
 tion.

Continuation Divisional Continuation-in-part (CIP)

of prior application No. , filed .

- | | |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | Customer No. 000466. |
| <input checked="" type="checkbox"/> | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street,
Second Floor, Arlington, Virginia 22202. |
| <input checked="" type="checkbox"/> | Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709. |

05/31/01
1c912 U.S. PTO

09857423-053101

UTILITY PATENT APPLICATION TRANSMITTAL LETTER
(continued)

Docket No.
01FN029US

CLAIMS AS FILED

	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$ 710	\$ 710
TOTAL CLAIMS	14 - 20 =	0	X\$ 18	
INDEPENDENT CLAIMS	5 - 3 =	2	X\$ 80	160
MULTIPLE DEPENDENT CLAIM PRESENT			\$ 270	
TOTAL				\$ 870
If applicant claims small entity status under 37 CFR 1.27, then divide total fee by 2, and enter amount here.				SMALL ENTITY TOTAL \$

- A check in the amount of \$910 to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of \$ as filing fee.
- Credit any overpayment.
- Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application.
- Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance.

Benoit Castel

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May 31, 2001

Y&T 12/97